



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
Washington, D.C. 2023i
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APPLICATION NUMBER FILING/RECEIPT DATE FIRST NAMED APPLICANT ATTORNEY DOCKET NUMBER

09/829,529

04/10/2001

Roger Tambay

32887-256195

CONFIRMATION NO. 8455

FORMALITIES LETTER

OC000000006077399

Charles W. Calkins Kilpatrick Stockton LLP 1001 West Fourth Street Winston-Salem, NC 27282

Date Mailed: 05/15/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

10/18/2001 BABRAHA1 00000009 09829529

FILED UNDER 37 CFR 1.53(b)

01-FC:117-

920.00 OP

Filing Date Granted .

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice <u>MUST</u> be returned with the reply.



EXPRESS MAIL NO. EL 83433590145

Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE



Serial No. 09/829,529 Express Mail Cert. No. EL 834 335 901 US Page 1

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Tambay et al.

Serial No.:

09/829,529

Filing Date:

April 10, 2001

For:

Systems And Methods For Facilitating Transactions

In A Commodity Marketplace

Examiner:

To Be Assigned

Art Unit:

2161

Assistant Commissioner of Patents Box Missing Parts

Washington, DC 20231

Sir:

REPLY TO NOTICE TO FILE MISSING PARTS

Enclosed herewith for filing in the above-identified application are the following documents:

- 1) Request for Extension of Time Pursuant To 37 C.F.R. §1.136(a);
- 2) Notice to File Missing Parts of Nonprovisional Application (Part 2);
- 3) Executed Declaration and Power of Attorney of Bowen;
- 4) Executed Declaration and Power of Attorney of Tambay
- 5) Executed Declaration and Power of Attorney of Lettich and Caldwell; and
- 6) Substitute Formal Drawings in compliance with 37 C.F.R. 1.84; and
- 7) Checks in the amount of \$130.00 & \$920.00

The Commissioner is hereby authorized to charge any additional fees required by this action, or credit any overpayment to Deposit Account No. 16-1435. A duplicate of this sheet is attached for that purpose.

Date:

10/15/01

Respectfully submitted,

Charles W. Calkins Reg. No. 31,814

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